



Dept.: -C-

OSMM&N File No. 241406US0CONT

By: NFO/VKS/sfb

Serial No. NEW APPLICATION

In the matter of the Application of: Yusuke AMINO, et al.

For: METHOD FOR PRODUCING ASPARTAME DERIVATIVE, METHOD FOR PURIFYING THE SAME, CRYSTALS THEREOF AND USES OF THE SAME

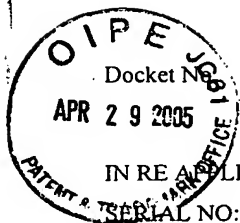
Due Date: 09/08/03

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 49 pp. Specification 39 Claims/Drawings 1 Sheets and 2 Pages Application Data Sheet
- Copy of Combined Declaration, Petition & Power of Attorney 3 Pages (executed)
- Utility Patent Application Transmittal
- Request for Priority
- Check for \$966.00
- Fee Transmittal Form
- Preliminary Amendment
- Information Disclosure Statement
- White Advance Serial Number Card
- Dep. Acct. Order Form
- PTO-1449

COPY





Docket No.

241406US0CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yusuke AMINO, et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: METHOD FOR PRODUCING ASPARTAME DERIVATIVE, METHOD FOR PURIFYING THE SAME, CRYSTALS THEREOF AND USES OF THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were filed on March 7, 2002, June 11, 2002 and January 9, 2003 and were also entered by the U.S. Patent Office on September 9, 2002 in U.S. Application Serial No. 10/091,500, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAYER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Vincent K. Shier, Ph.D.

Registration No. 50,552

Form PT 01449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
241406US0CONTSERIAL NO.
NEW APPLICATION

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Yusuke AMINO, et al.FILING DATE
HEREWITH

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,480,668	01/02/98	Nofre, et al.			
	AB	5,510,508	04/1996	Claude, et al.			
	AC	6,077,962	07/2000	Prakash, et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	WO 00/17230	03/30/00	Wipo		
	AP	WO 00/00508	01/06/00	Wipo		
	AQ	WO 99/52937	10/21/99	Wipo		
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	S. Nishimura, "HANDBOOK OF HETEROGENEOUS CATALYTIC HYDROGENATION FOR ORGANIC SYNTHESIS", John Wiley & Sons, NY, pp. 178-185, 2001
	AX	T. Fukuda, "NIPPON KAGAKU ZASSHI", vol. 83, pp. 1126-1129, 1962
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



OSMM&N File No. 241406US0CON

Dept.: Chemical

By: NFO/C/L/jot

Serial No. 10/656,228

In the matter of the Application of: Yusuke AMINO, et al.

For: METHOD FOR PRODUCING ASPARTAME DERIVATIVE, METHOD FOR PURIFYING THE SAME, CRYSTALS THEREOF AND USES OF THE SAME

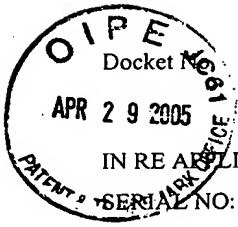
Due Date: December 15, 2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- PTO-1449
- IDS/Related/List of Related Cases
- Cited Pending Applications (1)

COPY





Docket No. 241406US0CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yusuke AMINO, et al.

SERIAL NO: 10/656,228

GAU: 1614

FILED: September 8, 2003

EXAMINER:

FOR: METHOD FOR PRODUCING ASPARTAME DERIVATIVE, METHOD FOR PURIFYING THE SAME, CRYSTALS THEREOF AND USES OF THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLO, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)



LIST OF REFERENCES CITED BY APPLICANT		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241406US0CONT		SERIAL NO. 10/656,228	
		APPLICANT Yusuke AMINO, et al.					
		FILING DATE September 8, 2003				GROUP 1614	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,649,784	11-18-03	AMINO et al.			
	AB	6,630,191	10-07-03	AMINO et al.			
	AC	6,548,096	04-15-03	AMINO et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

COPY